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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/797,019	03/11/2004	Bradley A. Saville	27462	3927
20736 7:	590 ' 07/21/2006		EXAMINER	
MANELLI DENISON & SELTER			GOUGH, TIFFANY MAUREEN	
2000 M STREET NW SUITE 700 WASHINGTON, DC 20036-3307			ART UNIT	PAPER NUMBER
	•		1651	
			DATE MAILED: 07/21/2004	<u>.</u>

Please find below and/or attached an Office communication concerning this application or proceeding.

P	Application No.	Applicant(s)				
	10/797,019	SAVILLE ET AL.				
Office Action Summary	Examiner	Art Unit				
	Tiffany M. Gough	1651				
The MAILING DATE of this communication ap Period for Reply	ppears on the cover sheet with the	correspondence address				
A SHORTENED STATUTORY PERIOD FOR REPI WHICHEVER IS LONGER, FROM THE MAILING I  - Extensions of time may be available under the provisions of 37 CFR 1 after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period - Failure to reply within the set or extended period for reply will, by statu Any reply received by the Office later than three months after the maili earned patent term adjustment. See 37 CFR 1.704(b).	DATE OF THIS COMMUNICATION (136(a). In no event, however, may a reply be still apply and will expire SIX (6) MONTHS from the cause the application to become ABANDON	DN. timely filed om the mailing date of this communication. NED (35 U.S.C. § 133).				
Status						
1) Responsive to communication(s) filed on						
• •	—· is action is non-final.	en.				
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closed in accordance with the practice under						
·	1					
Disposition of Claims						
4) Claim(s) 1-20 is/are pending in the application.						
4a) Of the above claim(s) is/are withdrawn from consideration.						
5) Claim(s) is/are allowed.						
6)⊠ Claim(s) <u>1-20</u> is/are rejected.						
7) Claim(s) is/are objected to.						
8) Claim(s) are subject to restriction and	or election requirement.					
Application Papers						
9) The specification is objected to by the Examiner.						
10) ☐ The drawing(s) filed on is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.						
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).						
Replacement drawing sheet(s) including the corre						
11) The oath or declaration is objected to by the E						
Priority under 35 U.S.C. § 119						
·	en priority under 25 H C C & 110/	(a) (d) or (f)				
12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).						
a) ⊠ All b) □ Some * c) □ None of:	nte have been received					
1. Certified copies of the priority docume		ation No				
2. Certified copies of the priority docume						
3. Copies of the certified copies of the pri		ived in this National Stage				
application from the International Bureau (PCT Rule 17.2(a)).						
* See the attached detailed Office action for a lis	st of the certified copies not recei	ved.				
Attachment(s)	_					
Notice of References Cited (PTO-892)  4) Interview Summary (PTO-413) Paper No(s)/Mail Date						
2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  Paper No(s)/Mail Date  Notice of Informal Patent Application (PTO-152)						
Paper No(s)/Mail Date	6) Other:					
S. Patent and Trademark Office						

#### **DETAILED ACTION**

### Oath/Declaration

The petition decision granted under 37 CFR1.47(a), submitted 11/18/2004, has been received and admitted into the case.

#### Information Disclosure Statement

The information disclosure statement filed 3/11/2004 fails to comply with 37 CFR 1.98(a)(2), which requires a legible copy of each cited foreign patent document; each non-patent literature publication or that portion which caused it to be listed; and all other information or that portion which caused it to be listed. It has been placed in the application file, but the information referred to therein has not been considered.

## Claim Rejections - 35 USC § 112

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claims 16-18,20 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Claim 16 recites the limitation "said substrate" in claim 15 depending on claim 1.

Neither claim 1 or 15 claim a substrate. There is insufficient antecedent basis for this limitation in the claim.

Claims 17 and 18 are indefinite for reciting "organic entitites" because applicant fails to particularly point out and adequately define the term in the claim language or

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specification. Further, claim 17 recites the term "relatively less..." applicant fails to clearly and concisely define the term "relatively less" and therefore, one would not be apprised of the scope of this limitation.

Claim 20 is indefinite because applicant fails to particularly point out method steps for treating a substrate. Treating a substrate with a enzyme does not distinctly claim the method.

## Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States

Claims 1-3,5,8,10,11,14 and 19 are rejected under 35 U.S.C. 102(b) as being anticipated by Aikat et al (Biotechnology Letters, vol 23, 2001,p.295-301).

Applicant claims a method of enhancing the intrinsic activity of an enzyme solution, specifically a hydrolase, by treating with a purifying agent, activated carbon, and further removing the activated carbon from the enzyme solution by centrifugation. The purified enzyme solution is said to have a CD and UV distinct from that of the raw enzyme solution, specifically 30 nm less and the enzyme to carbon ratio is not to exceed 15:1.

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Aikat et al teach the purification of protease by activated charcoal, i.e. activated carbon. They demonstrate the purification by activated charcoal in terms of fold purification and by electrophoretic analysis (see introduction). The enzyme solution was mixed with activated charcoal and allowed to react for a specific period of time prior to centrifugation, thus removing the activated carbon, at which time the supernatant was examined by spectroscopy. Further analysis was carried out by electrophoresis (see p. 296). The enzyme solution (1 ml) was treated with 50 to 150 mg of activated charcoal, although 75 mg of charcoal was selected as their optimum ratio. By gel analysis they observed the removal of almost all of the smaller proteins, confirming the purifying action of activated charcoal.

Further, Aikat diluted the crude enzyme solution 10 times to bring it's absorbance within the range of that of charcoal-treated enzyme, which shows distinct troughs at 260 nm and a peak at 280nm. In the crude diluted solution there appeared to be a peak at 260 nm and no valley (see p.299 to 300).

Thus, the reference anticipates the claimed subject matter.

Claims 1-3,6-8,10 and 19 are rejected under 35 U.S.C. 102(b) as being anticipated by Bailey et al (US 4,204,041,1980).

Applicant claims a method of enhancing the intrinsic activity of an enzyme solution, preferably a hydrolase such as amylase and glucoamylase, by treating with a purifying agent, activated carbon. The raw enzyme solution is diluted with wither water or an aqueous buffer solution. The enzyme to carbon ratio is not to exceed 50:1.

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Bailey et al teach the use of activated carbon to immobilize and stabilize enzymes. They teach many advantages of using activated carbon with enzymes, specifically its acceptance as an absorbent for the removal of trace impurities from liquids therefore protecting enzymes from poisoning by metals or impurities in industrial process mixtures by absorbing the impurities before penetrating (see col.4,lines 39-46). They specifically teach the use of activated carbon with hydrolases, specifically glucoamylase and amylase. During the immobilization process, Bailey disclose the purification of the enzyme product which demonstrate excellent stability and extended enzyme lifetime. Further, they disclose the importance and value of the intimate combination of activated carbon and the enzymes catalytic activity (see col. 5lines 45 continued to col. 6 lines 30). They further display enzyme to carbon ratio's of 45:1, 42:1, and 43:1 (see table in col.9)

Thus, the reference anticipates the claimed subject matter.

Claims 1-6,8,9 and 19 are rejected under 35 U.S.C. 102(b) as being anticipated by Lausten et al (US2002/0020668 A1).

Applicant claims a method of enhancing the intrinsic activity of an enzyme solution, preferably a hydrolase such as amylase, glucoamylase and cellulase, by treating with a purifying agent, activated carbon. The raw enzyme solution is diluted with wither water and removed by filtration. Such method may also be carried out through a column. The enzyme to carbon ratio is not to exceed 50:1, preferably 15:1.

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Lausten teach the use of activated carbon in a fermentation broth, particularly with enzymes such as amylases and cellulases, to remove soluble impurities by purification improving the quality of a product (see abstract and 0015,0018-0046). The carbon is added at concentration of up to 2% w/w (see 0046). The enzyme solutions are further diluted with water before addition of carbon and further microfiltered (see examples 1 and 2), although they also teach the purification of such enzyme solutions with activated carbon may also be performed by such methods such as ultrafiltration, chromatographic methods, i.e. column method, adsorption and/or crystallization (see 0057).

Although the above references do not specifically state the enhancement of the enzyme activity, the method of treating an enzyme solution with a purifying agent, activated carbon, is the same, and further it is known in the art that activated is a purifying agent of enzymes and by purifying a substance one is further enhancing its intrinsic properties/activites, therefore, the enhancement of activity must be an inherent property of mixing such solutions together.

Thus, the reference anticipates the claimed subject matter.

Claims 1,2 and 19 are rejected under 35 U.S.C. 102(b) as being anticipated by Bailey et al (biotechnology and Bioengineering, vol 25,p.1923-1935,1983).

Applicant claims a method of enhancing the intrinsic activity of an enzyme solution, preferably a hydrolase such as glucoamylase, by treating with a purifying agent, activated carbon.

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Bailey et al teach the immobilization of enzymes using activated carbon. They added 1 g of activated carbon to 5 ml of glucoamylase solution. They observed an increase in enzyme activity as carbon particle size decreased partially due to an alteration of intrinsic enzyme activity due to covalent attachment to the carbon surface (see p. 1924 4<sup>th</sup> full paragraph and p.1927 1<sup>st</sup> paragraph), therefore altering the native enzyme conformation.

Thus, the reference anticipates the claimed subject matter.

## Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Claims 12,13 and 15-17 are rejected under 35 U.S.C. 103(a) as being unpatentable over Shenoy et al (J. of Bioscience, vol 7, 1985) in view of <a href="http://www.ap-lab.com/circular\_dichroism.htm">http://www.ap-lab.com/circular\_dichroism.htm</a> and Lausten et al (US2002/0020668 A1).

Applicant claims a method of enhancing the intrinsic activity of an enzyme solution by treating with a purifying agent. The enzyme solution of enhanced activity is claimed to have a relative absorbance intensity lower than the raw enzyme solution, preferably in the CD spectral range of 205-230 nm. Applicant further claims the enzyme to be alpha-amylase.

Shenoy et al (J. of Bioscience, vol7,1985) teach the purification of glucoamylases. They teach that the catalytic activity of a protein, i.e. enzyme is related

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to its "active" conformation, i.e. secondary and tertiary structure. The specific activity of the purified enzymes was three times higher than that of the original non-purified glucoamylase (see p.400). They teach that the UV (CD) spectra of glucoamylases from 3 species show peaks at 289-293,279-282 and 257-259 nm (see p.400-402), but also reveal negative bands at 217-220,208-210 (see p. 402).

Shenoy does not teach lower CD spectrum ranges such as those claimed by applicant nor alpha-amylase.

Information found at <a href="http://www.ap-lab.com/circular\_dichroism.htm">http://www.ap-lab.com/circular\_dichroism.htm</a> teaches that any change in structure of proteins will affect the CD spectral range, therefore a change in the spectral range appears to be an inherent property of purification, i.e, structural change, of a protein. Thus, one of ordinary skill in the art would be motivated and it would therefore be obvious to claim a CD spectral range lower than that of a raw enzyme solution given that a change in structure ultimately affects the CD spectrum.

When purifying a protein such as enzymes, one would have a reasonable expectation of success in obtaining a CD spectrum range lower than that of the raw enzyme solution given that purification ,enhancing the catalytic activity of an enzyme, ultimately alters the secondary and tertiary structure, therefore altering the CD spectrum range. Further, it would be obvious to optimize these parameters through routine experimentation.

Also it would be obvious to use other hydrolase enzyme such as alpha-amylase because Lausten teach the use of activated carbon in a fermentation broth, particularly with enzymes such as amylases and cellulases, to remove soluble impurities by purification improving the quality of a product (see abstract and 0015,0018-0046).

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Therefore, one of ordinary skill in the art at the time of the invention would have been motivated to purify an enzyme such as alpha-amylase with activated carbon as taught by Lausten and would have a reasonable expectation of success in obtaining a CD spectral range lower than that of the raw enzyme solution given what is known in the art of the change in structure by purification of a protein.

Claim 18 is rejected under 35 U.S.C. 103(a) as being unpatentable over <a href="http://www/usm.maine.edu/~rhodes/biochemLab/Text/HdtPurLys/HDTPurLys03.html">http://www/usm.maine.edu/~rhodes/biochemLab/Text/HdtPurLys/HDTPurLys03.html</a> (2002).

The website teaches the desire to obtain a pure enzyme in solution and how to measure the levels of purity during such reaction by assaying enzyme activity.

Therefore, one is able to obtain the desired purity of their product. It would be obvious to one of ordinary skill in the art at the time of the invention to want to obtain a purified enzyme solution, which contains 10 times more enhanced enzyme product to organic entities than that of the raw enzyme solution given that this is generally the goal of purification of a protein. Further, one of ordinary skill in the art at the time of the invention would have been motivated to optimize the relative purity of enzyme as a matter of routine experimentation in a purification reaction. Given the ability to measure the purity of the desired product one would have reasonable expectation of success in optimizing a enzyme solution to its desired purity.

#### Conclusion

No claims are allowed.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Tiffany M. Gough whose telephone number is 571-272-0697. The examiner can normally be reached on M-F 8-5 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Mike Wityshyn can be reached on 571-272-0926. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

tmg

RUTH DAVIS

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